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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: John M. Polo et al.

RECEIVED

Serial No.: 09/546,201

Group Art Unit: 1635

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Filed : April 10, 2000

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ENHANCEMENT OF THE IMMUNE RESPONSE FOR
VACCINE AND GENE THERAPY APPLICATIONS

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56 AND §1.97(b)(3)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The citations listed on the enclosed Information Disclosure Form PTO-1449 may be material to the examination of the above-identified patent application. Therefore, Applicant submits these documents in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56 and §1.97(b)(3). The Examiner is requested to make these citations of official record in the present application.

Applicants enclose herewith a copy of the Particle PCT International Search Report for International Application No. PCT/US00/09186, mailed August 8, 2000.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

This Information Disclosure Statement under 37 C.F.R. §1.56 and §1.97(b)(3) is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these citations constitute prior art under 35 U.S.C. §102 or §103.

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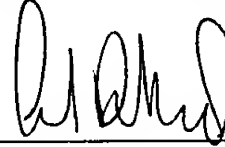
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By [Signature]

The Commissioner is hereby authorized to charge any fees in connection with this Information Disclosure Statement to Deposit Account No. 03-1664. This, however, is not an authorization for payment of Issue Fee.

Respectfully submitted,

By:



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October 25, 2000

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